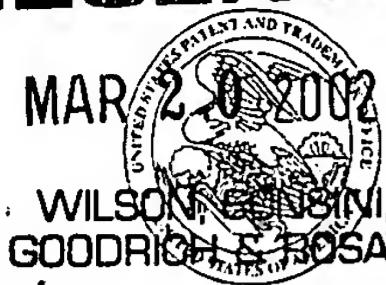


04-12-02

Page 1 of 2

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WILSON SONSINI,  
GOODRICH & ROSATI

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,301	02/08/2002	Shao-bing Hua	25636-718

CONFIRMATION NO. 9688

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



FORMALITIES LETTER



\*OC00000007620973\*

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$344.
  - \$162 for 18 total claims over 20.
  - \$42 for 1 independent claims over 3 .
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 779.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

04/16/2002 AOSMANI 00000007 232415 10072301

01 FC:201	370.00 CH	■ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
02 FC:202	42.00 CH	
03 FC:203	162.00 CH	
04 FC:204	140. CH	
05 FC:205	65. CH	

---

*Ahmad* *A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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S.C Page 1 of 2

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/072,301	02/08/2002	1632	0.00	25636-718	18	35	4

CONFIRMATION NO. 9688

021971

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650 PAGE MILL ROAD  
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FILING RECEIPT



\*OC000000007620972\*

Date Mailed: 03/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Shaobing Hua, Cupertino, CA;  
Shao-bing Hua, [Residence Not Provided];  
Michelle H. Pauling, [Residence Not Provided]; SAN Mateo, CA;  
Li Zhu, [Residence Not Provided]; Palo ALTO, CA --.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/12/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Human monoclonal antibody against coreceptors for human immunodeficiency virus

Preliminary Class

435



Practitioner's Docket No. 25636-718

PATENT

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shaobing Hua, et al.  
Serial No.: 10/072,031  
Filed: February 8, 2002  
For: Human Monoclonal Anitbody Against Coreceptors For  
Human Immunodeficiency Virus

Group: 1632  
Examiner: Unassigned

**BOX MISSING PARTS**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

**TRANSMITTAL OF SUBSTITUTE DRAWING(S) TO CORRECT INFORMALITIES  
IN NOTICE TO FILE MISSING PARTS OF APPLICATION (37 CFR 1.53(b))**

**SUBMISSION OF DRAWING(S)**

To correct the informalities in the drawings as noted in the objection(s) under 37 CFR 1.84 and in accordance with the Notice to File Missing Parts of Application mailed March 12, 2002, Applicants submit herewith formal drawing(s) for this application.

Number of sheets of drawings submitted: 18

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Shirley Chen, Ph.D.  
Reg. No. 44,608

650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)**

"Express Mail" Mailing Label No. EL 778599970 US

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date: April 10, 2002

Donna L. Hengst